

CONTENTS

| | |
|--|----|
| FOREWORD..... | 2 |
| Introduction | 4 |
| 1 Scope | 6 |
| 2 Normative references | 7 |
| 3 Terms, definitions and abbreviations | 8 |
| 3.1 Terms and definitions | 8 |
| 3.2 Abbreviation | 11 |
| 4 The Specification of the universAAL UI Framework..... | 12 |
| 4.1 Analysis of the relationships between UI Handlers and I/O Channels | 12 |
| 4.2 Dialog Descriptions..... | 15 |
| 4.3 The Adaptation Concept | 18 |
| 4.4 Provisions of the UI Framework | 24 |
| Annex A (informative) | 34 |
| A.1 Use Case: Supporting rich human computer interaction | 34 |
| A.2 Use Case: Healthy Lifestyle Service Package Use Case (universAAL) | 34 |
| Bibliography | 35 |

Table of figures

| | |
|---|----|
| Figure 1 – Paradigm shift from HCI to HEI..... | 4 |
| Figure 2 – logical separation of application and presentation layers | 5 |
| Figure 3 – UI framework separating application development from the management of th I/O infrastructure | 6 |
| Figure 4 – The scope of the specified UI framework marked by the green colour | 7 |
| Figure 5 – The notion of AAL Spaces | 8 |
| Figure 6 – The need of smart environments to utilize channels for bridging between the physical world and the virtual realm..... | 9 |
| Figure 7 – The role of devices in realizing bridging channels..... | 10 |
| Figure 8 – Channel binding by I/O devices | 12 |
| Figure 9 – The notion of a driver with the case of a UPNP-aware driver | 13 |
| Figure 10 – The case of a universAAL aware driver | 13 |
| Figure 11 – Possible relationship between UI handlers and drivers | 14 |
| Figure 12 – The dialog package based on the notion of a form..... | 16 |
| Figure 13 - A possible graphical visualization of the mapping between dialog types and the predefined standard groups | 17 |
| Figure 14 – The universAAL framework for supporting adaptivity, which builds on top of the universAAL context and service buses | 19 |
| Figure 15 – A model for describing access impairments..... | 21 |
| Figure 16 – Summary of the adaptation parameters..... | 23 |
| Figure 17 – The components comprising the universAAL UI framework..... | 24 |
| Figure 18 – The main messages exchanged on the UI Bus | 25 |
| Figure 19 – The notion of a UI request from the view of applications | 26 |
| Figure 20 – Overview of the sequence of actions when the priority check is positive..... | 26 |
| Figure 21 – The case of switching to a new UI handler when handling changes in the context..... | 28 |

1
2 INTERNATIONAL ELECTROTECHNICAL COMMISSION
3
4

5 **TITLE – The universAAL Framework for User Interaction in AAL Spaces**

6
7 **Part X:**
8

9 **FOREWORD**

- 10 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising
11 all national electrotechnical committees (IEC National Committees). The object of IEC is to promote
12 international co-operation on all questions concerning standardization in the electrical and electronic fields. To
13 this end and in addition to other activities, IEC publishes International Standards, Technical Specifications,
14 Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC
15 Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested
16 in the subject dealt with may participate in this preparatory work. International, governmental and non-
17 governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely
18 with the International Organization for Standardization (ISO) in accordance with conditions determined by
19 agreement between the two organizations.
- 20 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international
21 consensus of opinion on the relevant subjects since each technical committee has representation from all
22 interested IEC National Committees.
- 23 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National
24 Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC
25 Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any
26 misinterpretation by any end user.
- 27 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications
28 transparently to the maximum extent possible in their national and regional publications. Any divergence
29 between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in
30 the latter.
- 31 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity
32 assessment services and, in some areas, access to IEC marks of conformity. IEC is not responsible for any
33 services carried out by independent certification bodies.
- 34 6) All users should ensure that they have the latest edition of this publication.
- 35 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and
36 members of its technical committees and IEC National Committees for any personal injury, property damage or
37 other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and
38 expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC
39 Publications.
- 40 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is
41 indispensable for the correct application of this publication.
- 42 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of
43 patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

44 A PAS is a technical specification not fulfilling the requirements for a standard, but made
45 available to the public.

46 IEC-PAS 60000 has been processed by subcommittee XX: TITLE, of IEC technical
47 committee XX:

The text of this PAS is based on the
following document:

This PAS was approved for
publication by the P-members of the
committee concerned as indicated in
the following document

| Draft PAS | Report on voting |
|-----------|------------------|
| XX/XX/PAS | XX/XX/RVD |

48
49 Following publication of this PAS, which is a pre-standard publication, the technical committee
50 or subcommittee concerned may transform it into an International Standard.

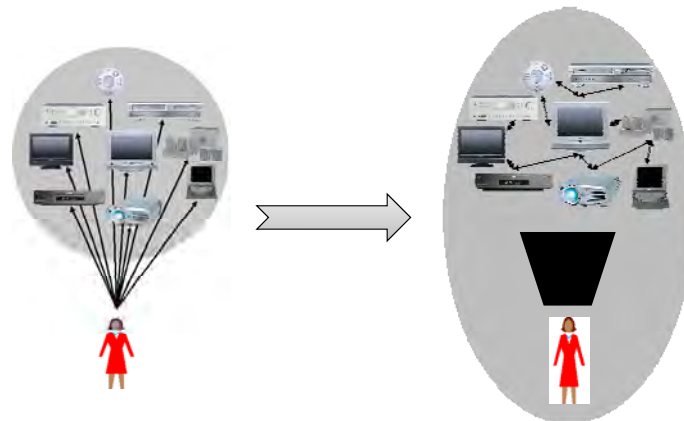
51 This PAS shall remain valid for an initial maximum period of 3 years starting from the
52 publication date. The validity may be extended for a single period up to a maximum of 3 years,
53 at the end of which it shall be published as another type of normative document, or shall be
54 withdrawn.

55

56 Introduction

57 Ambient Assisted Living (AAL) systems encompass products, services, environments and
58 facilities used to support those whose independence, safety, wellbeing and autonomy are
59 compromised by their physical or mental status. AAL especially is about the usage of ICT for
60 creating intelligent living environments that react to the needs of their inhabitants by providing
61 relevant assistance. Such intelligent environments can be labelled as AAL Spaces. Multiple
62 users can find themselves in an AAL space simultaneously, possibly moving around within the
63 AAL space, and entering and leaving it dynamically. These characteristics introduce new
64 challenges when it comes to handling interaction with users in AAL spaces.

65 With the assumption that people are surrounded by highly distributed systems of networked
66 interactive devices, AAL intensifies the paradigm shift from Human-Computer Interaction (HCI)
67 to Human-Environment Interaction (HEI). One of the main challenges of HEI is to keep the
68 multiplicity of functional units hidden to humans while making the functionality provided by
69 them easily available based on natural ways of interaction. Instead of controlling each device
70 separately, users should be able to interact with a whole device ensemble as one single unit
71 and articulate goals instead of looking for functionality at the level of each single device
72 separately (see figure 1).



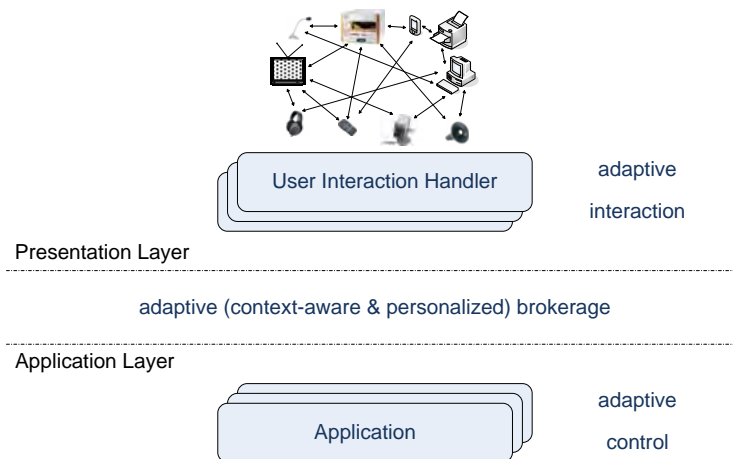
73

74

Figure 1 – Paradigm shift from HCI to HEI

75

76 Another important challenge for designers and developers of systems in AAL spaces is that
 77 interaction with applications can take place through a variety of devices at different locations
 78 with different capabilities in terms of serving a single user privately or not, supported
 79 modalities, modality-specific parameters such as screen size and resolution, power
 80 consumption, etc., which implies the need in AAL spaces to logically separate the application
 81 layer from the presentation layer (see figure 2).



82

83 **Figure 2 – logical separation of application and presentation layers**

84 Consequently, applications have to use abstract user interfaces that are device-, modality-,
 85 and layout-neutral and allow to postpone the rendering of the user interface to the execution-
 86 time, which makes it possible to interact with the users in a personalized and situation-aware
 87 way. The separation of concerns also facilitates the creation of clean interfaces based on an
 88 open and flexible architecture that have to enable the plug-and-play of both applications and
 89 user interaction handlers (UI handlers), and allows UI handlers to serve arbitrary applications.

90 The resulted openness complements the openness supported by DIN IEC 62481-2 that
 91 enables the sharing of multimedia content and streams within an ensemble of devices. It adds
 92 the perspective of *sharing the input and output channels provided by those devices*¹ to the
 93 DLNA perspective of content sharing.

94

¹ This understanding of the term I/O channel is based on the actual roles of devices that enable interaction with human users: a display provides a visual output channel, a loudspeaker, an audio output channel, and a microphone, an audio input channel.

95 1 Scope

96 This Publicly Available Specification (PAS) specifies the explicit interaction among humans
97 and AAL spaces.

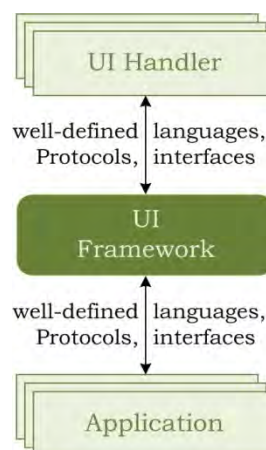
98 Considering that AAL spaces target the assistance of several types of users with different
99 needs, preferences, and cultural and educational backgrounds, it becomes crucial that on one
100 hand, system output is presented to the addressed user in an adaptive and personalized way,
101 and on the other hand, user input can be provided with much flexibility and become more and
102 more natural as perceived by the specific user involved.

103 Another requirement is the necessity to consider that several input and output devices (I/O
104 devices) might be distributed overall in an AAL space that can be utilized for the explicit
105 interaction.

106 The term I/O infrastructure is used to refer to the set of concrete I/O channels available in an
107 AAL space. It should be stressed that the concrete I/O infrastructure in one AAL space might
108 differ substantially from the concrete I/O infrastructure in another AAL space so that a major
109 challenge for explicit interaction in AAL spaces is the separation of the application
110 development from the management of the concrete occurrences of the I/O infrastructure in
111 concrete AAL spaces. The universAAL UI Framework achieves this separation mainly by
112 introducing:

- 113 • a new type of software components called UI handlers that are capable of utilizing certain
114 types of I/O channels for performing the interaction with humans, and
- 115 • a brokerage mechanism between applications and UI handlers.

116 Although it might be possible to develop one single UI handler able to utilize all kinds of I/O
117 channels available in an AAL space, the framework should be open with regard to more
118 specific UI handlers that are "experts" in utilizing certain kinds of I/O channels and guarantee
119 a richer user experience. This might even go beyond the "expertise" in utilization of I/O
120 channels so that in future UI handlers might emerge that are experts in interacting with certain
121 types of users (see figure 3).



122

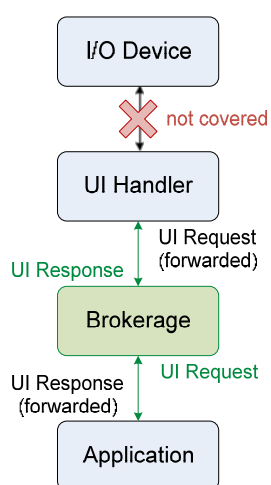
123

124 **Figure 3 – UI framework separating application development from the management of th**
125 **I/O infrastructure**

126

127 The proposed UI model encompasses the following elements (see figure 4):

- 128 • Analysis of the relationships between UI handlers and I/O devices without specifying
 129 possible languages, models, or abstract APIs for interaction with these devices, as there
 130 are certain international activities that go in this direction on representing user input
 131 coming from input devices of the API of drivers for I/O devices in order to facilitate the
 132 development of UI handlers
- 133 • The language and model for describing application-specific dialogs / user interfaces as
 134 part of UI requests made by applications to the UI framework,
- 135 • The adaptation concept and parameters needed to achieve adaptive UI and the way they
 136 affect UI requests, and
- 137 • Protocols used by the UI framework to broker between the pluggable components, i.e. UI
 138 handlers and applications.



139

140 **Figure 4 – The scope of the specified UI framework marked by the green colour**

141 2 Normative references

142 The following referenced documents are indispensable for the application of this document.
 143 For dated references, only the edition cited applies. For undated references, the latest edition
 144 of the referenced document (including any amendments) applies.

145 DIN IEC 62481-2 *Digital living network alliance (DLNA) home networked device*
 146 *interoperability guidelines - Part 2: DLNA media formats (IEC 62481-2:2007)*

147 ISO/IEC Guide 71, *Guidelines for standards developers to address the needs of older persons*
 148 *and persons with disabilities*

149 ISO 9241-11:1998, *Ergonomic requirements for office work with visual display terminals*
 150 *(VDTs) -- Part 11: Guidance on usability*

151 ISO 9241-110:2006, *Ergonomics of human-system interaction -- Part 110: Dialogue principles*

152

153 3 Terms, definitions and abbreviations

154 For the purpose of this document, the following terms and definitions apply.

155 3.1 Terms and definitions

156 3.1.1

157 **Ambient Assisted Living (AAL)**

158 products, services, environments and facilities used to support those whose independence,
159 safety, wellbeing and autonomy are compromised by their physical or mental status. AAL
160 especially is about the usage of ICT for creating intelligent living environments that react to
161 the needs of their inhabitants by providing relevant assistance.

162 3.1.2

163 **user**

164 person who interacts with the product, service or environment

165

166 3.1.3

167 **AAL service user**

168 person who interacts with an AAL system or is connected with an AAL system

169

170 3.1.4

171 **user interface**

172 all components of an interactive system (software or hardware) that provide information
173 and/or controls for the user to accomplish specific tasks with the interactive system

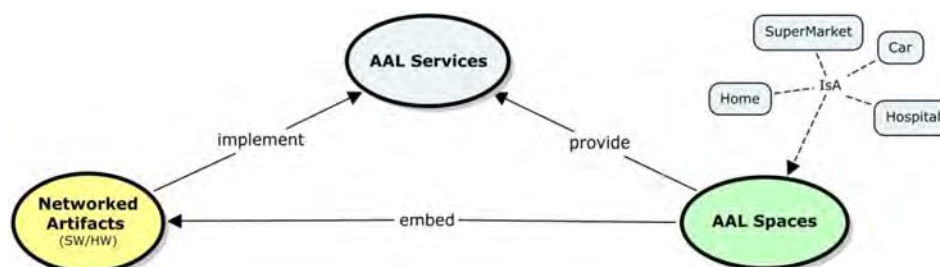
174 3.1.5

175 **AAL Space**

176 Denotes different spaces, such as smart homes and cars (see figure 5), are characterized
177 with a high number of different kinds of devices that can be stationary, mobile or embedded
178 within other objects. Interaction in AAL Spaces falls into the category of human-environment
179 interaction, which is generally divided into two major areas: implicit and explicit interaction.

180 Implicit interaction is mostly about using sensing channels for observation of happenings, with
181 or without involvement of humans, in order to recognize in the background relevant situations
182 to which the environment might be able to react in a desired way.

183 Explicit interaction, on the contrary, is about situations in which a human user seeks the
184 dialog with the environment or vice versa, for instance when the user instructs that the
185 brightness of the TV is increased or when the environment notifies the user that it is time to
186 take a certain medicine. Explicit interaction takes place by utilizing input and output channels
187 provided by I/O devices (see the definitions provided in the next section on the Terminology).



188

189

Figure 5 – The notion of AAL Spaces

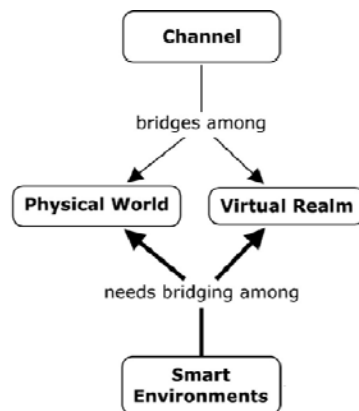
190 The term AAL Space is used throughout this specification to refer to these environments.

191 **3.1.6**192 **Smart environment**

193 Denotes an environment centred on its human users in which a set of embedded networked
194 artefacts, both hardware (HW) and software (SW), collectively realize the paradigm of
195 Ambient Intelligence, mainly by providing for context-awareness and personalization, adaptive
196 reactivity, and anticipatory pro-activity. Smart environments need to bridge between the
197 physical world and the virtual realm with the help of certain devices.

198 **3.1.7**199 **Channel**

200 Denotes the bridging passage provided by such devices between the physical world and the
201 virtual realm (see figure 6). Depending on the kind of channel opened, a channel might be
202 called a sensing channel (provided by sensors), an acting channel (provided by actuators), an
203 input channel (provided by microphones, keyboards, etc.), or an output channel (provided by
204 displays, loudspeakers, etc.). The latter two types of channels might be referred to as I/O
205 Channels. Sometimes a single channel might be used both for sensing and input.



206
207

208 **Figure 6 – The need of smart environments to utilize channels for bridging between the**
209 **physical world and the virtual realm**

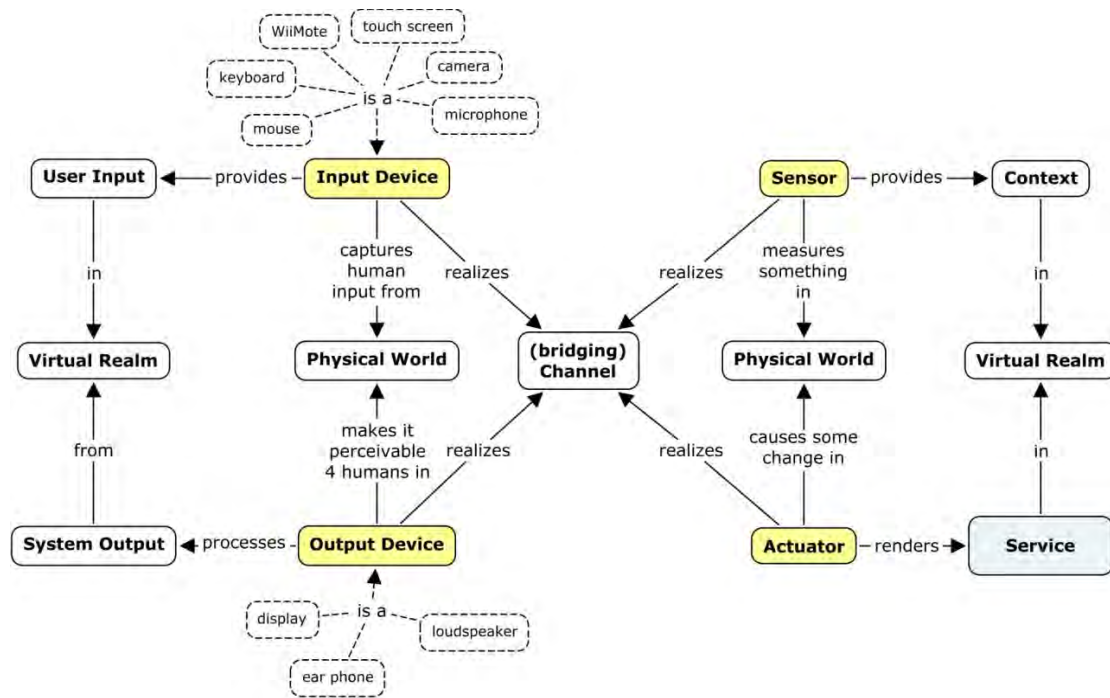
210

211

212 **3.1.8**

213 **I/O Device**

214 An abbreviation for input and / or output device. A device that provides an input and / or
 215 output channel for facilitating explicit interaction between a smart environment and its human
 216 users. Input devices, such as a microphone, a keyboard, or a mouse, can capture an
 217 instruction or response that is provided by a human user and represent it in terms of data in
 218 the virtual realm (see figure 7). Upon receive of data within the virtual realm that is intended
 219 to be presented to human users, output devices, such as displays and loudspeakers, can
 220 make it perceivable to the addressed humans.



221

222

223 **Figure 7 – The role of devices in realizing bridging channels**

224 **3.1.9**

225 **Multimodal UI handler**

226 Denotes a handler which shall not care about modality used to present information. The
 227 handler is not GUI-based. "Multimodal" as an adjective for UI handlers serves as an
 228 abbreviated reference to the potential of performing the interaction using multiple channels in
 229 parallel, possibly with a hybrid mix supporting different modalities.

230

231 **3.1.10**

232 **XForms**

233 This is an XML format for the specification of a data processing model for XML data and user
 234 interface(s) for the XML data, such as web forms.

235 [W3C XSLT Standard]

236 **3.1.11**

237 **XPATH**

238 XPath is used to navigate through elements and attributes in an XML document.

239 [W3C XSLT standard]

240

241 **3.2 Abbreviation**

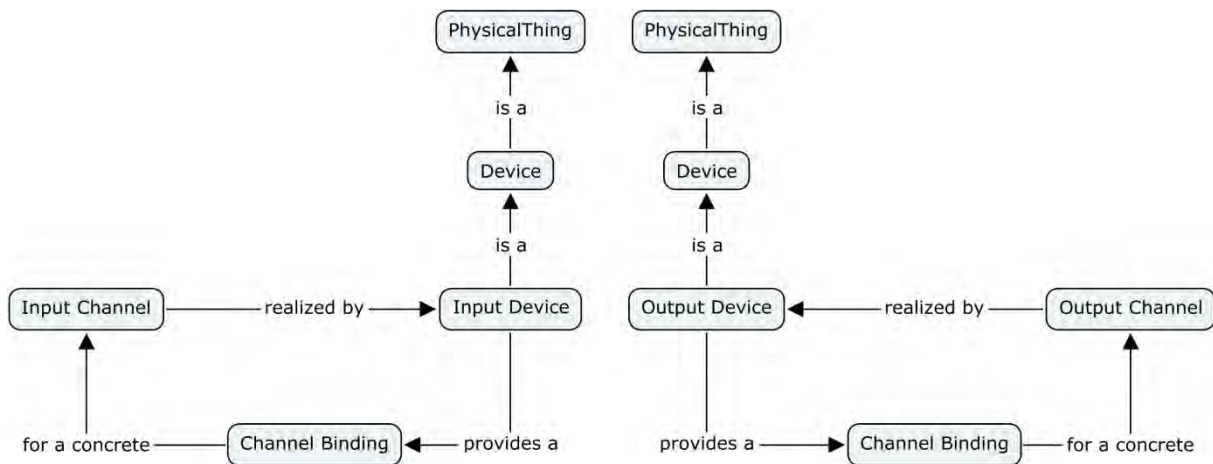
| | | |
|-----|--------------|---|
| 242 | AAL | Ambient Assisted Living |
| 243 | API | Application programming interface |
| 244 | DLNA | Digital Living Network Alliance |
| 245 | e.g. | for example |
| 246 | etc. | et cetera |
| 247 | GUI | Graphical user interface |
| 248 | HCI | Human-Computer Interaction |
| 249 | HEI | Human-Environment Interaction |
| 250 | HTML | Hypertext Markup Language |
| 251 | HW | Hardware |
| 252 | ICT | Information and communications technology |
| 253 | i.e. | id est, that is to say |
| 254 | I/O channels | Input/Output channel |
| 255 | uAAL | universAAL |
| 256 | OWL | Web Ontology Language |
| 257 | RDF | Resource Description Framework |
| 258 | RM | Resource Manager |
| 259 | SW | Software |
| 260 | UI | User Interaction |
| 261 | UIML | User Interface Markup Language |
| 262 | UPNP | Universal Plug and Play |
| 263 | UI model | User Interaction model |
| 264 | W3C | World Wide Web Consortium |
| 265 | XML | Extensible Markup Language |
| 266 | Cf | confer |
| 267 | | |

268 **4 The Specification of the universAAL UI Framework**

269 **4.1 Analysis of the relationships between UI Handlers and I/O Channels**

270 UI handlers are seen responsible for handling requests for interacting with human users. To
 271 do so, they need to utilize the available I/O channels but are not necessarily supposed to take
 272 over the binding and management of those channels. On the other side, the "manager" of a
 273 single input or output channel is usually not able to handle the whole of a UI request sent by
 274 an application because applications often wait for user response in the context of some info to
 275 be presented to the user; as a result. At least one output channel and one input channel are
 276 supposed to be utilized simultaneously by the same UI handler in order to be able to interpret
 277 user input in the context of the output presented to the user.

278 Therefore, it is important to have a more precise look at the relationship between UI handlers
 279 and I/O channels: Section 3.1 states that channels are realized by certain devices but it does
 280 not say anything about their binding and availability in the virtual realm. In the concept map in
 281 figure 8, those definitions are extended by introducing the concept of Channel Binding; the
 282 same concept can be used for both input and output channels:



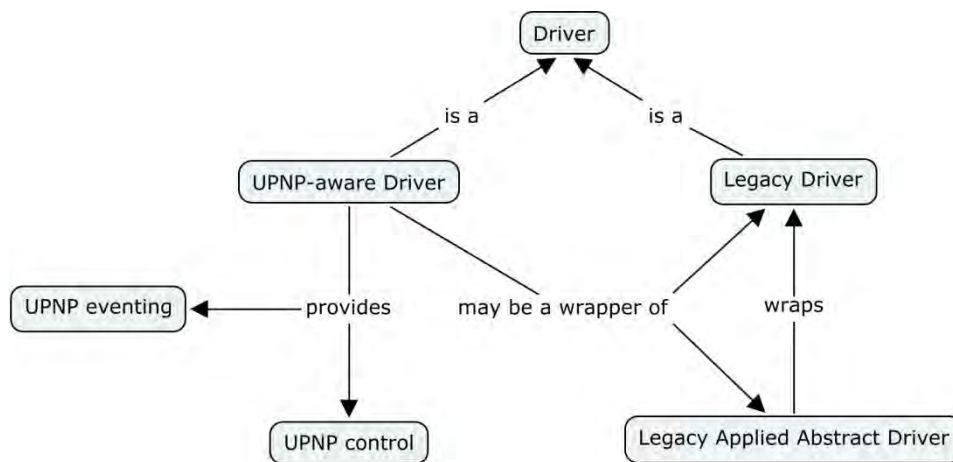
283
 284

285 **Figure 8 – Channel binding by I/O devices**

286

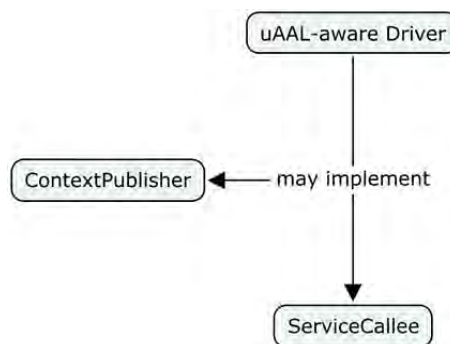
287 The device that realizes a channel has to have an integrated solution for providing access to
 288 the channel. This might be very low-level, at hard- and firmware level to exchange certain bits
 289 and bytes through a physical connector interface (called *Embedded Binding*) or already
 290 software using higher-level protocols and abstractions (called *Driver*). Drivers might be
 291 provided by third-party and / or run on third-party devices. Like figure 9 denotes, a driver
 292 might wrap another driver in order to comply with higher-level abstractions (e.g. a “UPNP
 293 driver”) for binding a special-purpose device that is not readily “UPNP-aware” usually uses
 294 “Embedded Bindings” or “Legacy Drivers” for providing wrappers that interact at the UPNP
 295 level of abstraction.

296 In particular, the higher-level abstractions might only make sense in the context of a
 297 framework created for certain purposes (cf. a windowing system that uses a mouse driver and
 298 already interprets the mouse events in the context of the bunch of objects in the framework).



299
 300 **Figure 9 – The notion of a driver with the case of a UPNP-aware driver**

301 Similar to the UPNP-aware driver, a universAAL-aware driver should use the API of the
 302 universAAL middleware in order to provide related data and functionality in the universAAL
 303 realm² (see figure 10):

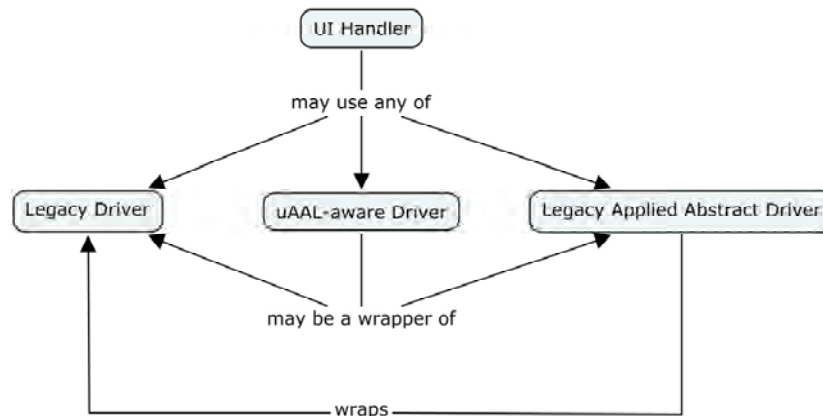


304
 305
 306 **Figure 10 – The case of a universAAL aware driver**

² The universAAL middleware provides for sharing data and functionality using the abstraction of virtual communication buses that broker messages between attached components; there are three buses, each responsible for a specific class of brokerage cases: the context bus works on a publish-subscribe base and is responsible for the brokerage of events, the service bus works on a request-response base and brokers services, and finally the UI bus (subject to discussion in the following sections) that brokers requests for interaction with human users to UI handlers. The three buses of the universAAL middleware are quite in analogy to the building blocks “Eventing”, “Control” and “Presentation” in the common architecture of UPNP. To publish events on the context bus, a component has to extend an interface called “Context Publisher”, and to provide services on the service bus, it has to extend “Service Callee”.

307 Like figure 11 denotes the development of a UI handler might not be realized by one special
 308 driver on one special abstraction layer.

309



310

311

Figure 11 – Possible relationship between UI handlers and drivers

312 An input or output channel can be associated with a certain location (the location of the
 313 device that realizes the channel), a modality, and a privacy level. These are characteristics
 314 when it comes to context-aware and personalized selection of an appropriate UI handler,
 315 which is supposed to be a crucial task of the UI framework.

316 The universAAL UI framework encompasses multimodal UI handlers which go beyond Web
 317 browsers in a specific setup of an AAL space.

318 Finally, the analogy to the role of browsers in the web can be used for closing the discussion
 319 about the understanding of UI handlers. As generally known, Web applications use HTML to
 320 separate their application logic (model & control) from their user interface (view) and delegate
 321 the visualization of this interface to arbitrary browsers about which they usually make no
 322 assumptions. Naturally, also Web browsers can be developed with support for multimodality³;
 323 however, there are two degrees of freedom in AAL spaces that are not given on the Web per
 324 se:

- 325 • UI handlers in AAL spaces might use modern middleware to utilize I/O channels
 326 distributed in an AAL space instead of being limited to only locally available drivers;
- 327 • Compared to the Web, the UI framework for AAL spaces might move easier beyond HTML,
 328 which is not really modality- & layout-neutral, and make use of the results of activities, that
 329 target the development of applications beyond the browsing of Web pages.

330

³ See, for example, a relevant working draft titled "WebRTC 1.0: Real-time Communication Between Browsers" available under <<http://www.w3.org/TR/webrtc/>> that has been published on 21-Aug-2012 by the W3C working group for Web Real-Time Communications <<http://www.w3.org/2011/04/webrtc/>>. Both standardization and development in the area of UI handles can benefit from such related work.

331 4.2 Dialog Descriptions

332 The most important part of UI requests is the description of the dialog that an application is
333 asking to be held with a certain user. Such a description shall be device-, modality- and
334 layout-independent in order to guarantee a clear separation between application logic, on one
335 side, and presentation mechanisms used by the UI handlers available in a given AAL space,
336 on the other side. XForms scripts are XML documents basically formed from two parts:

- 337 • a set of form Controls that define the structure of the form as to be presented to the
338 addressed human user and
- 339 • a set of Model elements that mainly deal with the data involved in the intended interaction
340 from the viewpoint of the application.

341 The latter includes the data structures and types relevant for the form data as well as instance
342 data, such as any initial values to be used when rendering the form or hidden data. Form
343 controls are linked with the XML elements in the model part via XPATH expressions⁴. In this
344 way, the interpreter will know if any initial value is associated with a form control at hand and
345 which restrictions have to be applied to possible related user input.

346 The application of XForms in the universAAL UI Framework is not one-to-one due to the fact
347 that the UI framework specified in this document is part of a more complete specification on
348 application-to-application interoperability within AAL spaces, in which data sharing plays a
349 substantial role. For this reason, universAAL had to rely on the Semantic Web⁵ specifications
350 and used the Resource Description Framework⁶ (RDF) as the de facto standard for
351 representing shared data in a domain-independent way. According to the Semantic Web
352 specifications, the domain-specific model underlying RDF data (data represented in RDF) can
353 then be specified using the Web Ontology Language⁷ (OWL). By relying on the combination
354 of RDF and OWL, universAAL specifications already cover many of the features assumed for
355 the model part of XForms. For example, the type system embedded in XForms Model is
356 inherently supported in a more powerful way in universAAL because of using OWL-based
357 ontologies. Therefore, the UI Framework of universAAL uses XForms specification just for the
358 purpose of specifying a dialog package that consists of the equivalents of XForms controls;
359 the data section of XForms is then replaced by RDF resources or OWL individuals originally
360 used by universAAL applications.

361

⁴ See <http://www.w3.org/TR/xpath/>. XPATH expressions can be used to refer to the XML elements and attributes in an XML document.

⁵ <http://www.w3.org/standards/semanticweb/>

⁶ <http://www.w3.org/RDF>

⁷ <http://www.w3.org/2004/OWL>

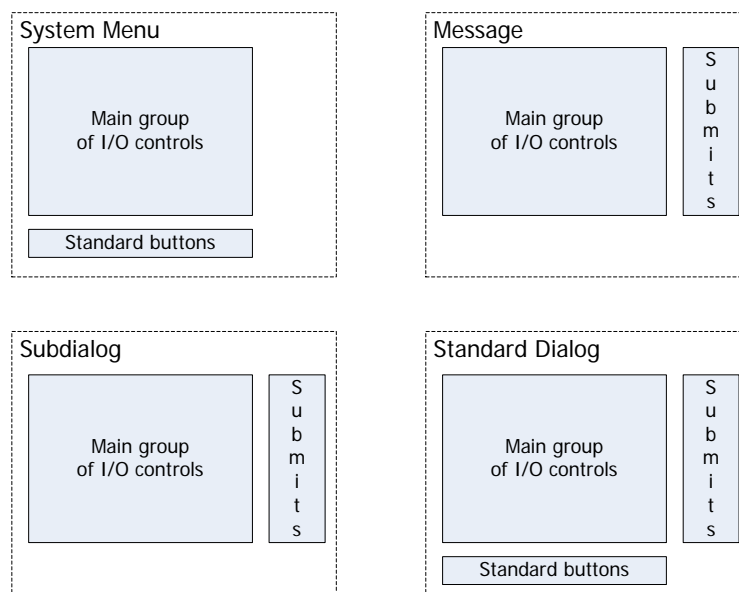
387

388 • Depending on the dialog type, a form in universAAL will consist of different combinations
389 of the following predefined groups:

390 – *submits*: “buttons” that finish the dialog intended by the current form should be added
391 to this group.

392 – *ioControls*: all other form controls, no matter if input or output controls, or subgroups or
393 even submits that trigger a sub-dialog should be added to this group.

394 – *stdButtons*: this group is reserved for a dialog management solution that has access to
395 all dialogs and may be willing to add standard buttons beyond the application logic to
396 reflect a system-wide behaviour.



397

398

399

Figure 13 - A possible graphical visualization of the mapping between dialog types and the predefined standard groups

400 The reference implementation of the universAAL dialog package incorporates additionally the
401 following features:

402

403 • The data part of the forms is hidden to the UI handlers so that they are relieved from error-
404 checking when users provide input: UI handlers simply try to store user input provided in
405 the context of a certain form control to that control element; if this attempt fails, the UI
406 handler shall give some hint to the user using the alert message set by the application
407 with the same semantic as defined by XForms.

408 • When a UI handler finishes a dialog, the data section of the form is automatically added to
409 the response to be provided to the UI broker.

410 • Form controls can be generated by the dialog package automatically based on ontological
411 knowledge associated with the data.

412

413 **4.3 The Adaptation Concept**

414 The separation of the application and presentation layers based on introducing a brokerage
415 mechanism in-between makes it possible to provide an efficient and effective approach to the
416 accessibility and adaptivity challenges in the AAL domain. This approach is based on the
417 division of the adaptation tasks between the three parties in a natural way:

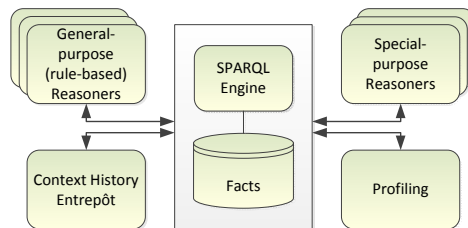
- 418 • Applications may concentrate on adaptivity in control and in content composition, for
419 example by sorting the application data to be presented to a user in a personalized and
420 situation-ware way,
- 421 • UI handlers may concentrate on adaptation in rendering by taking the characteristics of
422 the used channels into account, and
- 423 • The brokerage mechanism residing in-between may concentrate on the selection of the
424 right UI handler for a given user in a given situation.

425 All the three layers can benefit from the universAAL framework for supporting adaptivity, both
426 in terms of context-awareness and personalization, by subscribing for relevant contextual data
427 and / or fetching such data.

428

429 4.3.1 Responsibilities of Applications

430 Applications can prepare the content to be presented to the user in an adaptive way before
 431 adding it to a form object. Behaviour related to preparing the content in a personalized and
 432 situation-aware way is a choice that shall be made by the application developer and the UI
 433 framework cannot influence this behaviour largely. Here, the expectation is that the platform
 434 as a whole provides means for supporting adaptivity. The universAAL platform provides such
 435 means that are not subject to further discussion in this specification and are assumed to be
 436 replaceable by another platform-specific alternative. Figure 14 specifies a set of components
 437 on which the universAAL framework for supporting adaptivity consist of.



438

439 **Figure 14 – The universAAL framework for supporting adaptivity, which builds on top of**
 440 **the universAAL context and service buses**

441 Applications shall contribute to the adaptivity of the UI framework as a whole. This is forced
 442 by the framework by making it mandatory that applications provide the following data items
 443 when making a UI request⁹:

- 444
- 445 • Addressed User: the specific user whom the application wants to reach.
 - 446 • The dialog priority: one of none, low, middle, high, or full; it will be ignored if no other
 447 dialog is running at the time of receiving the UI Request that involved the same user as
 448 the one addressed; otherwise, it will be used to determine whether the running dialog
 449 should be interrupted; if the running dialog shouldn't be interrupted, the request will be put
 in a queue sorted according to the dialog priority and time of arrival.
 - 450 • The content language: a value of type XML Schema.
 - 451 • The privacy level of the content: one of insensible, known_people_only, intimates_only,
 452 home_mates_only, or personal.

453 Applications shall also contribute to the rendering phase by providing alternative versions of
 454 any media objects used as content in the intended dialog. For this purpose, they shall rely on
 455 a specific component of the universAAL UI framework, known as the Resource Manager (RM),
 456 by:

- 457
- 458 a) providing media objects with a special URI and meta information as resources to the RM.
 - 459 b) using specific URIs for referring to such media objects in their dialog descriptions, and
 - 460 c) providing the RM with several different versions of the media objects, each for a specific
 runtime context.

461

⁹ See the detailed specification of `org.universAAL.middleware.ui.UIRequest` provides as part of the API specification under <http://depot.universaal.org>.

462 4.3.2 Responsibilities of UI handlers

463 As components that utilize certain output channels for holding dialogs with human users, UI
464 handlers shall adapt the modality- and layout-neutral description of dialogs to the capabilities
465 of the devices that realize the utilized output channels. For performing such adaptation these
466 hints shall be as followed:

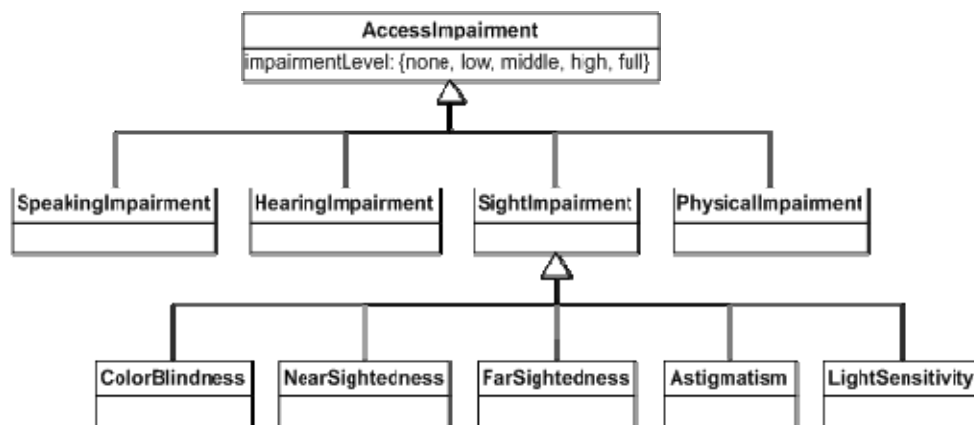
- 467 • The profiles of the devices that realize the output channels should be stored in the
468 database of shared facts as indicated in footnote 9 (see in the same footnote also the
469 component called Profiling); The developer of a UI handler may also rely on the API of the
470 device driver used.
- 471 • The adaptation to the channel capabilities will necessitate transformations (e.g., text to
472 speech) and layout-related decisions (e.g., the order of going through the dialog elements
473 if a “one-dimensional” device such as a loudspeaker is being used for rendering the
474 dialog). This specification makes no assumptions about the relationship of UI handlers to
475 possible related transformation and rendering tools. From the perspective of the whole
476 universAAL platform, it is recommended to decouple such auxiliary software and utilize
477 their capabilities as shared services over the universAAL service bus.
- 478 • The adaptation of media objects to the capabilities of the used devices needs the help of
479 application developers or third parties. If the application developer contributes and the
480 dialog description contains references to the Resource Manager (see the recommendation
481 to application developers in the previous section), the mandated UI handler will have to
482 retrieve a best-match version of the referenced media object from the Resource Manager
483 by providing appropriate parameters about the runtime context (see the specification of
484 the RM in Section 4.4.3).

485

486 Similar to the case of applications, UI handlers shall contribute to the adaptivity of the UI
 487 framework as a whole. This is forced by making it mandatory that UI handlers shall provide
 488 their profiles to the brokerage layer when registering to that layer. The profile of a UI handler
 489 shall include the following information items:

- 490 • Supported channels: each channel shall be described by the following properties, which
 491 can be extracted from the profile of the device that realizes the channel and is utilized by
 492 the UI handler:
 - 493 – Channel type: input or output
 - 494 – Location where the channel is available: will depend on the location of the
 495 corresponding device
 - 496 – Interaction modality supported through the channel: currently, one of GUI, Speech, or
 497 Gesture
 - 498 – Channel privacy level: private, public, or both; e.g., a headphone provides a private
 499 channel,
 - 500 – but the loudspeaker of a TV a public channel, and the speaker of a phone provides
 501 both
 - 502 – Modality-specific tuning capabilities: e.g., the volume range
- 503 • Supported languages: a list of values of type XML
- 504 • Appropriateness for certain impairments: UI handlers might be specialists in interaction
 505 with certain types of users having certain types of impairments:

506



507

508

Figure 15 – A model for describing access impairments

509

510 4.3.3 Responsibilities on the brokerage layer

511 AAL spaces are open spaces; applications and UI handlers are “third-party” components that
512 can be plugged into AAL spaces as desired by the users. The extent of adaptivity in the
513 behaviour of these components is a function of the design decisions made by their developers.
514 The level of adaptivity in these components will play a decisive role in determining their
515 market shares.

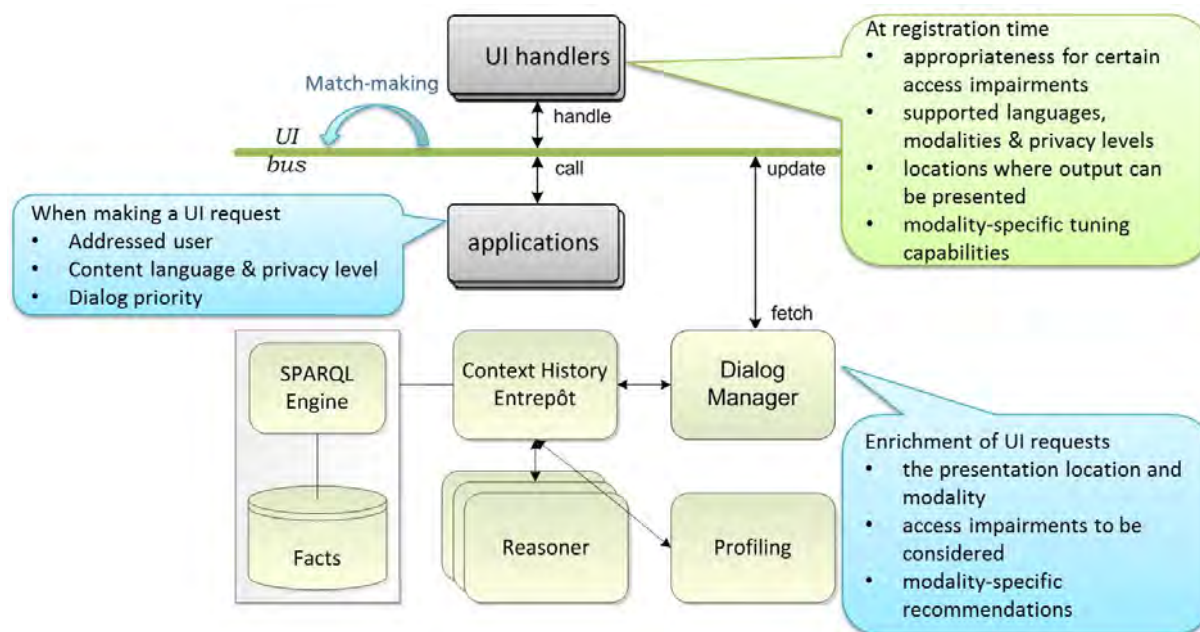
516 In terms of the whole system, AAL spaces can still achieve a certain level of adaptivity even
517 independently from the adaptivity level supported by the concrete set of applications and UI
518 handlers running in the space. The prerequisite for this is the contribution of applications and
519 UI handlers to the limited extent. Given this contribution, the following behaviour can be
520 realized that is assumed to be essential for AAL spaces of future:

- 521 • enable natural interaction with exciting user experience by selecting a UI handler, which
522 utilizes those I/O channels that are the best match for interaction with a certain user in a
523 given situation
- 524 • during the time a certain dialog is being held with the addressed user, instruct the UI
525 handler to adapt itself to the changes in the context as far as the capabilities of the UI
526 handler allow to cope with the changes; otherwise, transfer the remaining part of the
527 dialog from that UI handler to a new one that is a better match for the changes in the user
528 context. Examples for the effects that can be achieved are:
 - 529 – adapt within the scope of modality-specific tuning capabilities of the used channels,
530 e.g., perform a speech-based interaction a little bit louder because the situation with
531 the background noise worsens
 - 532 – switch to another channel due to the change of the user location and achieve “follow
533 me”
 - 534 – switch to another channel with a different privacy level, e.g., when the personal content
535 in the dialog should not be disclosed to someone that enters the same location as the
536 interacting user
 - 537 – suspend the current dialog and start with another dialog with a higher priority
 - 538 – continue with a previously suspended dialog because the interrupting dialog finished or
539 because the user instructs to do so
 - 540 – stop all interactions with the user because something else is attracting the user’s
541 attention

542

543 For selecting the most appropriate UI handler, the brokerage layer shall match the current
 544 state of a set of relevant parameters against the profile of the available UI handlers, each time
 545 that a UI request is received from the application layer. The corresponding set of parameters
 546 can be determined the following way:

- 547 • use the content language indicated by the application for comparing it against the set of
 548 languages supported by the UI handlers available in the current AAL space
- 549 • fetch from the user's context¹⁰ : any impairments that the user addressed in the UI
 550 request might have and compare it against the appropriateness for access impairments
 551 claimed by the available UI handlers
- 552 • fetch from the user's context: the current location of the user addressed in the UI request
 553 and use it for matching against the location of the output channels utilized by a given UI
 554 handler
- 555 • fetch from the user's context: the modality recommended in the current situation for the
 556 user addressed in the UI request and match it against the modalities supported by the
 557 output channels utilized by a given UI handler 11
- 558 • for the modality recommended, fetch from the user's context: the modality-specific tuning
 559 parameters preferred by the user addressed in the UI request and use it for matching
 560 against the modality-specific tuning capabilities of the channels to use
- 561 • fetch from the user's context: the currently highest privacy level that a dialog is allowed to
 562 possess in order to still be held using public channels and compare it with the privacy
 563 level provided by the application in order to determine the privacy level required from the
 564 output channel to use 12



565

566

Figure 16 – Summary of the adaptation parameters

¹⁰ Assumes that the user model includes the corresponding info. In universAAL this model exists in terms of an ontology, and all info describing user context is assumed to be available in the “facts database”, which is part of the universAAL framework for supporting adaptivity; see also footnote 9

¹¹ In universAAL, a rule determines this value. One of the general-purpose reasoners in the universAAL framework for supporting adaptivity (more specifically, the so-called Situation Reasoner that works based on SPARQL) monitors the changes in the context, and based on those changes, re-evaluates the affected rules. The evaluation of these rules might lead to the construction of a new fact that is then fed into the “fact database”. This always-running background process ensures that a simple fetch operation is enough for, say, retrieving the most up-to-date recommendation for the modality to use in the interaction with a given user.

¹² In universAAL, a rule determines this value as in case of the recommended modality.

567 4.4 Provisions of the UI Framework

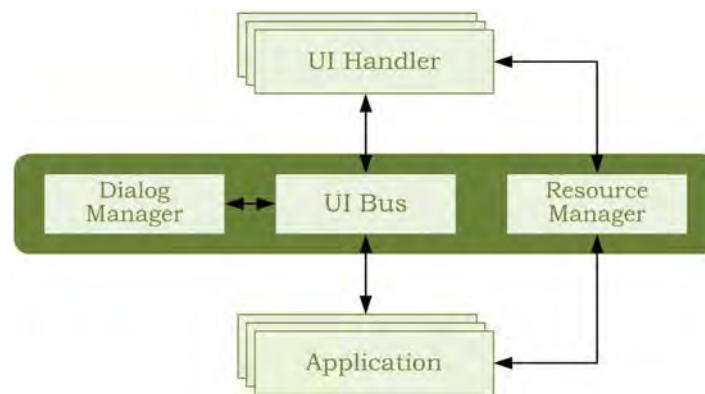
568 The source of the parameters needed for selecting a UI handler and the logic of matching
569 those parameters against the corresponding capabilities of the UI handlers have been
570 specified for each information item in the profiles of UI handlers separately. It is expected that
571 the brokerage layer fetches in a first operation all of the parameters and gets ready for the
572 next step which is to go through all of the profiles registered by the available UI handlers and
573 match the fetched parameters against those profiles while ranking them. In the end of this
574 process, the UI handler whose profile ranked. There are three components as integral parts of
575 the universAAL UI framework:

576

577 a) The UI Bus, which provides a message brokerage mechanism that facilitates the
578 independence of the applications and UI handlers from each other

579 b) The Dialog Manager, which assists the UI Bus in the management of dialogs in order to
580 achieve some of the desired adaptation effects listed as examples in Section 4.3.3.

581 c) The Resource Manager already mentioned in sections 4.3.1 and 4.3.2.



582

583

Figure 17 – The components comprising the universAAL UI framework

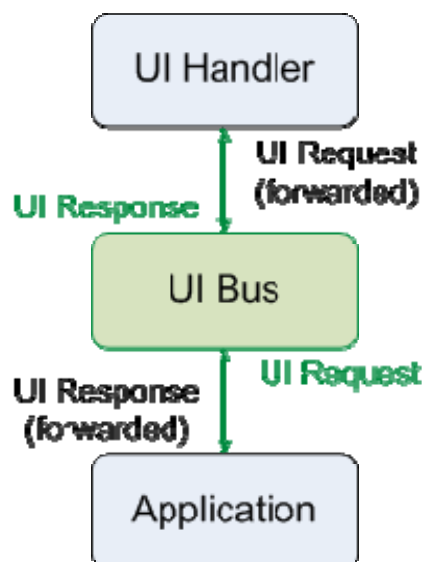
584

585 4.4.1 The UI Bus and its brokerage protocols

586 In general, messaging brokers can be abstracted as virtual communication buses, or short
 587 *buses*. This is also the abstraction used by the universAAL platform; therefore, the broker in
 588 the universAAL UI framework is called the *UI Bus*, which is mandated to facilitate the
 589 interaction between applications and UI handlers.

590 A bus may be realized in a centralized way so that only one single instance of the bus exists
 591 in a whole system; if the UI Bus is realized in that way, there would be a 1:1 relationship
 592 between the term UI Bus and the singleton object that realizes the bus. Alternatively, a bus
 593 can be realized in a distributed way with one instance per runtime environment so that
 594 components that want to connect to the bus can do so by accessing the local instance of the
 595 bus and use its API natively. In that case, the different instances of the same bus running in a
 596 distributed way within different runtime environments shall find each other and cooperate in a
 597 way that the distribution and possible heterogeneity of the different runtime environments can
 598 be overcome. If so, a message posted by a component to the bus instance that exists in the
 599 same runtime environment may reach another component running in another runtime
 600 environment, when necessary. Through such cooperation between the different instances of
 601 the same bus, each instance will be perceived by the components locally attached to it as the
 602 footprint of a single global bus. If the UI Bus is realized in this way, then the term UI Bus
 603 would refer to the logically global bus that emerges through the cooperation between the
 604 different instances of the bus distributed among different runtime environments¹³.

605 Buses can operate either event-based or call-based. Event-based buses support the
 606 communication pattern known as publish-subscribe, whereas call-based buses realize the
 607 request-response communication pattern. The UI Bus in the universAAL UI framework
 608 operates call-based and consequently supports the request-response communication pattern.
 609 As a result, the main messages posted to the UI Bus or forwarded by the UI Bus to its
 610 members are called *UI Request* and *UI Response*, respectively.



611

612

Figure 18 – The main messages exchanged on the UI Bus

613 From the viewpoint of an application, a UI Request consists of a form object as described in
 614 Section 4.2. and the set of parameters described in Section 4.3.1. The resulting object model
 615 can be summarized as follows:

¹³ The reference implementation of the UI Bus in the universAAL platform is based on the second paradigm as part of a distributed middleware approach that consists of two other buses, namely the context and service buses, as indicated in footnote 2

616

```

org.universAAL.middleware.ui.UIRequest

SF String uAAL_UI_NAMESPACE
SF String MY_URI
SF String PROP_ADDRESSED_USER
SF String PROP_DIALOG_FORM
SF String PROP_DIALOG_PRIORITY
SF String PROP_DIALOG_LANGUAGE
SF String PROP_DIALOG_PRIVACY_LEVEL

C UIRequest(Resource user, Form dialogForm, LevelRating dialogPriority, Locale dialogLang, PrivacyLevel dialogPrivacy)
Resource getAddressedUser()
Form getDialogForm()
String getDialogID() // returns the URI of the dialog form
Locale getDialogLanguage()
LevelRating getDialogPriority()
PrivacyLevel getDialogPrivacyLevel()
DialogType getDialogType() // returns the type of the dialog form: system menu | standard dialog | message | sub-dialog

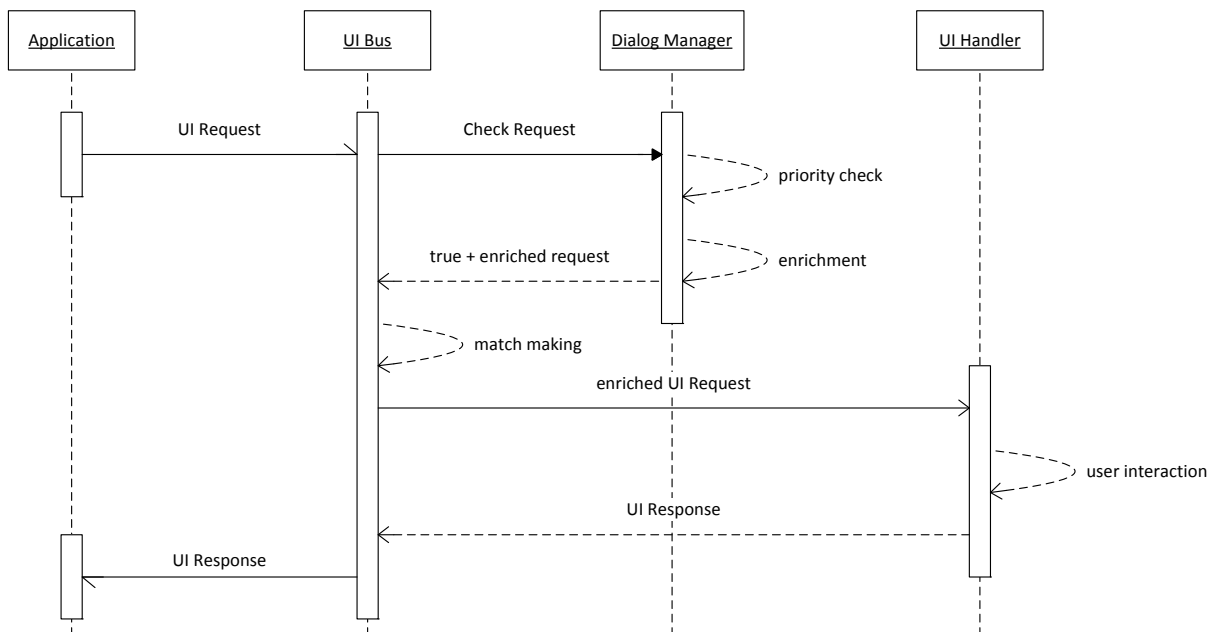
```

617

618

Figure 19 – The notion of a UI request from the view of applications

619 When an application posts a UI Request to the UI Bus, the latter asks the Dialog Manager to
 620 (1) make a decision about handling the request in the current situation (see Section 4.4.2),
 621 and – if appropriate to be handled immediately – (2) augment the request with up-to-date info
 622 from the user context according to the logic described in Section 4.3.3. As a result, the UI
 623 Request will be enriched by a set of parameters, such as user’s possible access impairment,
 624 recommended presentation modality for the addressed user in her/his current situation,
 625 alternative presentation modality recommendation, presentation location (where the user finds
 626 himself currently), presentation privacy, and modality-specific user preferences. The enriched
 627 UI Request is then matched against the profiles of registered UI handlers (see the
 628 enumeration in Section 4.3.2 for the content of these profiles) in order to choose the most
 629 appropriate UI handler.



630

631

Figure 20 – Overview of the sequence of actions when the priority check is positive

632

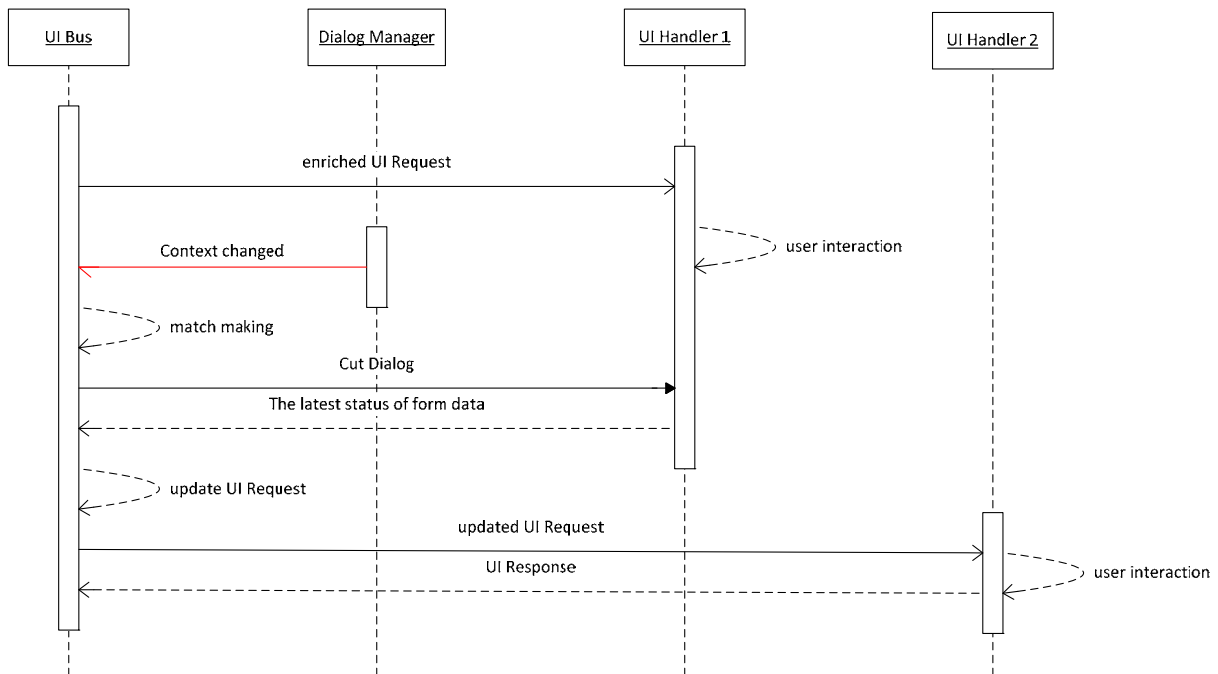
633 The following rules are used during matchmaking in order to assign scores to the candidate UI
634 handlers before choosing the one with the highest score:

- 635 • Omit candidates not able to present a dialog in the required presentation location.
- 636 • If the usage of private channels is required by the enriched UI Request, omit candidates
637 not offering this capability.
- 638 • If the last UI handler that was interacting with the user is among the remaining candidates,
639 take that one as the best match.
- 640 • If accessibility support is mandatory, omit candidates not appropriate; otherwise assign
641 higher scores to candidates offering appropriate accessibility support.
- 642 • Omit candidates that support neither the recommended presentation modality nor the
643 alternative modality; then, assign higher scores to candidates that support the
644 recommended presentation modality rather than supporting the alternative one.
- 645 • Assign higher scores to candidates that provide a better match for the modality-specific
646 user preferences.
- 647 • At any time after omitting not appropriate UI handlers from the list of candidates, if the list
648 of remaining candidates becomes empty, then try to find a compromise for the conflicting
649 situation; e.g., if the enriched UI Request indicates that only private channels shall be
650 used but no UI handler offers this capability in user's location, then the user shall be
651 asked if s/he would change to a location with available private channels, or the info should
652 be presented in the same location using public channels, or the interaction is postponed to
653 a later time. By default – e.g., when there is no way to ask the user for resolving the
654 conflicting situation – the UI Bus will ask the Dialog Manager to preserve the dialog for a
655 later time.
- 656

657 If any of the above parameters from the enriched UI Request changes during the time after
 658 mandating a UI handler to perform the corresponding dialog with the user and before the UI
 659 handler reports that the dialog has been finished, the Dialog Manager resends the enriched UI
 660 Request with its updates to the UI Bus and requests to redo the matchmaking. Examples of
 661 such changes are:

- 662 • If during interaction with a UI handler the user changes the location from one room to
 663 another, the Dialog Manager will have to update the presentation location in the enriched
 664 UI Request
- 665 • If during interaction with a UI handler a third person enters the presentation location who
 666 is in conflict with the privacy level of the presented content, the Dialog Manager will have
 667 to update the required channel privacy
- 668 • If according to some user preference the loudness of the speakers used for interacting
 669 with the user should change depending on the loudness of the background noise, and
 670 during speech-based interaction with a UI handler, the background noise changes
 671 significantly, the Dialog Manager will have to update the modality-specific parameter
 672 "volume"

673 After receiving the updated UI Request, the UI Bus will redo the matchmaking with the profiles
 674 of available UI handlers; if as result the newly matched UI handler is the same as the UI
 675 handler matched previously, this UI handler will be notified about the change of the enriched
 676 UI Request in order to adapt its behaviour to the changes, e.g. by transferring the rest of the
 677 interaction to more appropriate channels accessible to it (channels in the new location of the
 678 user or private channels in the same location) or by adapting the modality-specific rendering
 679 parameters (e.g., speech output becomes louder). If however the new UI handler is different
 680 from the old one, the old UI handler will be asked to cut the dialog and return the form data
 681 with all intermediate user input gathered so far, then the enriched UI Request updated by the
 682 Dialog Manager will be re-updated by the UI Bus in order to include the changes in the form
 683 data, and finally the new UI handler will be mandated to handle the dialog with the user.



684

685 **Figure 21 – The case of switching to a new UI handler when handling changes in the**
 686 **context**

687

688 Beside this main protocol for forwarding UI Requests from applications to UI handlers, the UI
689 Bus supports the following additional protocols:

690 1. Finishing a dialog

691 When a UI handler finishes a dialog with the user, it constructs a UI Response by providing
692 the following set of data and hands it over to the UI Bus:

- 693 • The user who provided the response
- 694 • The location where the input was provided
- 695 • The ID of the dialog finished
- 696 • The final state of the form data as updated during the dialog with the user
- 697 • The ID of the parent dialog, if the finished dialog was a sub-dialog of another dialog
698 running previously (see the discussion of sub-dialogs further below)
- 699 • The ID of the “Submit” object that caused the dialog to finish (see Section 4.2)
- 700 • A Flag indicating if the above “Submit” object actually does not finish the dialog but
701 simply suspends it as a result of activating either a “SubdialogTrigger” (see both the
702 description of the dialog package in Section 4.2 and the discussion of sub-dialogs
703 further below) or a “standard button” (see both the description of the dialog package in
704 Section 4.2 and the discussion of the standard buttons in Section 4.4.2)

705 Upon receiving a UI Response, the UI Bus forwards it to the application that had made the
706 original UI Request and notifies the Dialog Manager that a dialog with a certain ID has
707 finished or been suspended. See Section 4.4.2 for the reaction of the Dialog Manager to this
708 notification.

709 2. Aborting a dialog

710 There are three ways for aborting a dialog: (1) the user may decide to abort a running dialog
711 using “Submit” objects provided by the application itself; in that case, the abort action actually
712 falls into the normal category of “finishing a dialog” as discussed in the previous bullet; (2) the
713 user may decide to abort a suspended dialog using a standard dialog designed by the Dialog
714 Manager – see Section 4.4.2; in that case, the Dialog Manager will ask the UI Bus to inform
715 the corresponding application about this action of the user; (3) the application itself decides to
716 cancel its UI Request (e.g., because a deadline for the expected user input was missed); in
717 that case, the application shall also provide a human readable explanation for this action and
718 wait until the UI Bus either confirms the abort or informs that the dialog has finished normally.

719 Upon receiving such an abort request, the UI Bus checks if the corresponding dialog is
720 running; if yes, the request to abort will be forwarded to the UI handler in charge of handling
721 the dialog. The UI handler is expected to communicate this situation with the user while
722 referring to the reasons provided by the application. The result of the user decision will then
723 be communicated both to the application and to the Dialog Manager. If however the
724 application is asking to abort a dialog that had been suspended for whatever reason and
725 hence is not running, then only the Dialog Manager is informed by the UI Bus to remove the
726 dialog from its list of suspended dialogs and not reschedule it for later resumption.

727

728 3. Resumption of a previously suspended dialog

729 A dialog can be suspended due to four reasons (in that case, the Dialog Manager will put the
730 dialog in a user-specific queue of dialogs waiting for resumption): (1) at the time when the
731 application made its UI Request, the addressed user was already involved in another running
732 dialog with the same or higher priority; (2) a running dialog might be suspended because a
733 dialog with a higher priority has to be pushed into the foreground; in that case, the UI handler
734 in charge of the running dialog will be asked to cut the dialog and return the form data with all
735 intermediate user input gathered so far in order to forward it to the Dialog Manager for
736 suspension; (3) when the user activates a “SubdialogTrigger” in a running dialog, the latter
737 will be suspended; (4) when a user activates a “standard button” in order to switch to a
738 standard dialog provided by the Dialog Manager; such standard buttons are added to
739 application dialogs by the Dialog Manager during the enrichment of UI Requests; activation of
740 these buttons leads to the suspension of the running dialog.

741 Resumption of a previously suspended dialog may occur automatically after a dialog with no
742 parent dialog finishes. In that case, the notification sent by the UI Bus to the Dialog Manager
743 causes that the latter checks the user-specific queue of dialogs waiting for resumption and
744 picks the dialog with the highest priority for resumption; in case that there are several dialogs
745 with this priority, the oldest one from among them will be selected. If the queue is empty, then
746 the default standard dialog (the system “main menu”) will be selected. At this time, the
747 corresponding UI Request will be updated with data from the user context and the UI Bus will
748 be asked to start with a new matchmaking process for mandating an appropriate UI handler to
749 resume with that UI Request.

750 However, if the finished dialog was a sub-dialog, the Dialog Manager won’t execute the above
751 procedure for resumption; rather, the system expects that the application that had made the
752 corresponding UI Request will process the input data provided by the user and incorporates
753 any resulted changes in the data associated with the parent dialog and ask the UI Bus to
754 resume with the parent dialog. At this stage, the UI Bus restarts the whole process with the
755 enrichment of the UI Request by the Dialog Manager with up-to-date data from user context,
756 matchmaking, and mandating the most appropriate UI handler (usually the same UI handler
757 that just finished the sub-dialog).

758 The need to resume a suspended dialog may also arise when in the context of a standard
759 dialog provided by the Dialog Manager, the user explicitly chooses a waiting dialog for
760 resumption. Such an action finishes the corresponding standard dialog so that the Dialog
761 Manager will then resume exactly the dialog chosen by the user instead of following its own
762 logic for the priority-based selection of the next dialog.

763

764 4. Handling sub-dialogs

765 Sub-dialogs are usually used for structuring complex dialogs in a more neat way. In the usual
766 case of GUI-based interaction, a sub-dialog is usually rendered in a pop-up window when
767 some action of the user activates the sub-dialog. Then, usually the user cannot return to the
768 parent dialog before the sub-dialog is finished. A reason for this behaviour is that the user
769 input in the context of the sub-dialog may affect the form data in the parent dialog so that the
770 parent dialog may need to be re-rendered. A typical example for such a situation is given,
771 when the parent dialog includes only a summary of more complex data related to the current
772 dialog and hence has to embed a “SubdialogTrigger” (as it is called in the dialog package of
773 the universAAL UI framework described in Section 4.2) in the current form in order to provide
774 the user with the possibility to view all the related details; any changes to these details can
775 usually be made to the detail data only in the context of such sub-dialogs.

776 When in the context of a running dialog the user activates a “SubdialogTrigger”, the UI
777 handler shall construct a UI Response as if the current dialog has finished, and set in this
778 response a flag indicating that the submission has been caused by a SubdialogTrigger. The
779 standard “submission ID” in the response will then be the ID of the concrete
780 “SubdialogTrigger”. Then the UI handler can assume that most probably it will be mandated
781 by the UI Bus to perform the sub-dialog that the application is assumed to send in immediate
782 reaction to the UI Response and use some interaction “trick” until the situation is clarified.
783 When the application submits a UI Request that is in reaction to such a UI Response for
784 activating a sub-dialog, it has to include the ID of the parent dialog in the corresponding UI
785 Request.

786 When a sub-dialog finishes, the UI handler shall construct a UI Response in which the ID of
787 the parent dialog is returned back to the application so that the latter can uniquely identify
788 which original dialog is expected to be resumed.

789

790 4.4.2 The dialog manager and its role in assisting the UI Bus

791 The Dialog Manager (DM) plays multiple roles in the universAAL UI framework for AAL spaces.
792 It (1) represents the whole system by providing different flavours of system menus and
793 standard dialogs, (2) assists the UI Bus by being responsible for the incorporation of user
794 context in analyzing the interaction situations, (3) assists the UI Bus also by providing a
795 persistent mechanism for user-specific dialog management, (4) handles user instructions to
796 the AAL space as a whole, (5) provides a mechanism for users to edit their UI related
797 preferences as a specific standard dialog, and (6) is finally responsible for offering a unified
798 view of all user services available in the AAL space as an alternative to system menus and a
799 method to search for specific services. Due to its roles (1), (4), (5), and (6), it is logically also
800 playing the role of an application that creates own UI Requests and sends them to the UI Bus
801 for being brokered to an appropriate UI handler.

802 Many of the above features of the Dialog Manager have been already specified in the context
803 of previous sections in this document. Therefore, this section only provides complementary
804 info needed to specify the tasks of the Dialog Manager in more details:

805 1. Dialog Management

806 The DM manages parallel dialogs for several users based on priority queues of published
807 dialogs and takes care that only one dialog is presented to the user at each point in time
808 based on the priority of the UI requests received from the application layer. Before brokering
809 UI requests to UI handlers, the UI Bus asks the DM to check if the UI request should be
810 handled immediately or wait until a later time; if the result of this check is negative, the
811 corresponding UI request will be queued and the UI framework does not undertake any
812 additional action. Only if the result of the check is positive, the enrichment of the UI request
813 with relevant up-to-date data from the user's context will be done immediately; otherwise, this
814 step will be done at the time of resumption (see also the previous section).

815 One of the important aspects of the enrichment is the addition of "standard buttons" to the
816 form object contained in UI requests. They make it possible for the user to view and
817 manipulate the queue of waiting UI requests or switch to the "system main menu" (more on
818 that further below). An important remark about the "system main menu" is that it is the first
819 dialog presented to users as soon as they take the initiative to start interacting with an AAL
820 space by accessing a desired UI handler. In such cases, the UI handler will inform the UI Bus
821 that in the absence of any history for a specific user, s/he has started actively to use it for
822 interacting with the AAL space. The reaction of the UI Bus will be to ask the DM for the
823 default dialog, which is the "system main menu" as assumed by the DM.

824 In addition to the user-specific queue of waiting dialogs, the DM remembers the currently
825 running dialog for each user separately. This way, it can handle all the cases of completion,
826 aborting, suspending and resuming dialogs correctly and in accordance to the protocols
827 described in the previous section.

828

829 2. Standard Dialogs

830 Recurring system-wide and application-independent dialogs and dialogs related to the act of
831 managing dialogs are called standard dialogs in the terminology of the universAAL UI
832 framework for AAL spaces. The “standard buttons” – referred to previously several times – are
833 expected to provide access to these kinds of dialogs.

834 Currently, the following are the only system-wide dialogs specified in this framework:

- 835 • The “system main menu” that provides for navigation through a specific organization of
836 user services available in the AAL space based on user- and language-specific
837 configuration files
- 838 • A dialog for searching among all the user services available in the AAL space that can be
839 accessed by a specific user in a free form, beyond the “system main menu”, which is a
840 pre-configured organization of them that might not be comprehensive enough
841 Searching for services usually occurs in the context of a need; hence, such a free search
842 might be used for directly articulating a need, such as “turn on the light on the wall behind
843 me!” This kind of “search” can actually be classified as an instruction to the AAL space,
844 which is usually equivalent to a shortcut to some available service that otherwise would be
845 found by navigating in menus. As a result, the DM is also in charge of resolving such
846 instructions as far as possible.
- 847 • A dialog for viewing and manipulating UI-specific user preferences

848 In addition, currently supported dialogs related to the second category of standard dialogs,
849 namely the act of managing dialogs, include two similar but separated dialogs for browsing,
850 resumption or aborting UI requests that are waiting in the user-specific queue: One of them is
851 specific to pending “messages” and the second one for all the other pending dialogs (see the
852 list of dialog types in Section 4.2).

853 4.4.3 The Resource Manager

854 The Resource Manager (RM) is mainly responsible for managing media objects as resources
855 for UI Handlers. Applications can refer to such resources in their UI requests by using URIs;
856 this “presentation URI” is mapped by the RM to a concrete URI that can be accessed directly
857 by the UI Handler. Both application vendors and third parties can then provide the RM with
858 several different versions of the media objects, each for a specific runtime context. The RM
859 stores persistently the resources and their URI and meta information, and may provide them
860 via well-known protocols (e.g. http) to UI Handlers.

861 Application developers are expected to use the RM the following way:

- 862 a) Providing resources with their presentation URI to the RM, together with meta information,
863 such as modality and language. The resources and the information are stored persistently
864 by the RM.
- 865 b) In the related UI requests, refer to the corresponding resources by using their presentation
866 URIs.

867 UI Handlers are expected to use the RM in the following way:

- 868 a) Passing the UI request and a set of parameters describing the context of rendering the
869 dialog, such as the currently used modality and language, to the RM which transforms all
870 presentation URIs in the UI request to concrete URIs according to the managed resources.
- 871 b) When presenting the transformed UI request, the resources may be retrieved from the RM
872 using well-known protocols, like http. However, the concrete URI may also link to a
873 location not handled by the RM.

874

Annex A (informative)

875 A.1 Use Case: Supporting rich human computer interaction

| Title | RUC#1: Supporting rich human computer interaction |
|----------------------------------|--|
| Artefact/ Concept | Users are able to interact with the AAL system with different means (gesture, voice, touch, etc.) even simultaneously. The system is able to choose the best communication means according to the preferences of the user or the context of use (i.e. the location, the available devices, type of impairment, etc.). |
| Rationale | The user interface for the AAL service user is a critical issue that is related to their acceptance of the system. It is especially critical in complex systems like AAL systems. UniversAAL enables the creation of a rich variety of different configuration and personalization options, where not only the background colour can be personalized but for instance, how many interaction mechanisms the user wants to/can use simultaneously, and by using which devices located across the home, depending on the users' contexts. The final result of rich human computer interaction will be that users receive feedback seamlessly by the environment (with the minimum effort for them) and also can easily provide information into the system, or interact with it in the better and more natural way for them. |
| Examples of usage | <p>Example application: "Nutritional Advisor" (multimodality and high configurability).</p> <p>The AAL service user is able to initially configure his/her user preferences related to nutritional aspects. These preferences are stored in a platform component dealing with the user profile. That information is used by the "User Interaction Framework" to apply its intelligence. The platform offers different ways to allow inputs of information into the system: Wizard based using window based forms (graphical oriented), wizard based using speech generation and voice recognition (voice oriented), or a combination of graphical interface, gesture and voice recognition (multimodal).</p> <p>Example application: "Nutritional Advisor" (Adaptation to context and preferences).</p> <p>When the AAL service user makes breakfast, the system suggests the shopping list for the current week on the TV in the kitchen. It catches the users' attention by making a sound or just blinking lights at the display. The system knows from the user profile that the last time he/she shopped was three days ago. The AAL service user may confirm that he/she has read the message using different ways such as touch a button on the screen, saying "Thank you", shaking an object. The system may communicate to the user recommendations and advice from the nutritionist. Depending on the user profile and user preferences the system displays the information using the appropriate interaction mechanism. For instance, when the user enters the living room a digital photo frame displays the message "Don't forget to drink water. Today it's going to be over 35°C".</p> <p>A second example: certain messages might be private. The system can decide to use a more private modality to forward the message to the AAL service user, i.e. if the user listens to music with earphones, the system uses that specific mechanism to communicate that specific message.</p> <p>A third example: the AAL service user may look at a display in the kitchen for a certain recipe. Then the user moves to the living room in order to take a book from the shelf. The system is aware of that movement and might display the same recipe on the TV placed in the living room. This "follow me" scenario is supported by the underlying capacities of the platform.</p> |
| Components | Middleware; Domotics/WSN commons, UI Framework; Context Management; User model/Profiling |
| Benefits for stakeholders | <ul style="list-style-type: none"> • AAL service user -> End users -> Assisted Person and End users -> care personnel: by enjoying a new experience in dealing with technology helping them in daily life. • Developers: a developer may select which interaction modalities to charge into the system without the need of changing the development. It is also possible to develop alternatives. It is open to develop own interaction modalities or repeat existing ones in a way that better fits with your requirements. |

876 A.2 Use Case: Healthy Lifestyle Service Package Use Case (universAAL)

| Title | RUC#2: Healthy Lifestyle Service Package Use Case |
|------------------------------|--|
| Artefact/ Concept | The AAL user can decide to use different interaction channels and/or different flavours of the same interaction channel completely separately from the functionalities provided by different applications. He can decide separately on the most preferred way of interaction (graphical, |

| Title | RUC#2: Healthy Lifestyle Service Package Use Case |
|----------------------------------|--|
| | voice, gesture, etc) and most convenient applications. |
| Rationale | <p>The AAL service user is supervised by a Telemonitoring system which controls his health and diet status. The "Healthy Lifestyle Service Package" is provided by an AAL service provider. It consists of sensors installed across the house to monitor the actions related to cooking, sedentary life, level of activity, etc. Health care personnel supervises the ongoing care of the AAL service user by sending advice and questions about the health and vital signs.</p> <p>The AAL service user signs a service level agreement with an AAL service provider. The AAL service provider installs the software and sensors in the home of the AAL service user and also delivers supporting devices. The data collected from the sensors and other privacy data is only evaluated by health care personnel. By using non-intrusive mechanisms the health care personnel provides recommendations related to the activities of the AAL service user. Messages, e.g. shopping lists, are sent which have to be confirmed by the AAL service user with a touch-sensitive screen located in the kitchen. If it is detected that audio interaction is more appropriate for the AAL service user then the interaction can change to a different interaction mode, e.g. a graphical user interface. The AAL service user may also use voice and speak commands instead of touch screens.</p> |
| Examples of usage | <p>Example application:</p> <p>Location sensors ensure to locate the AAL service user around the house. Appropriate interaction modality is used or just the user can switch the output location from the previous screen to the screen in the vicinity thus enabling the "follow me" scenario.</p> |
| Components | |
| Benefits for stakeholders | <p>When the AAL service user is familiar with the "Healthy Lifestyle Service Package", the AAL service package can be enhanced by new functionalities, e.g. an application that motivates not to spend so much time watching TV at home, instead to be more active. The new application is installed by the AAL service provider and makes use of the graphical user interface. Since the AAL system's framework enables decoupling of the application and the presentation components, the AAL service user can add or remove applications separately without being concerned that some beneficial applications are lost if a different interface is chosen.</p> |

877

878 Bibliography

879 The UI framework specified in this document is the result of work in the following EU research
880 projects:

881 1 The EU-FP6 integrated project PERSONA with Grant Agreement no. 045459 that was
882 running from 1-Jan-2007 to 31-Oct-2010. The following paper summarizes the related
883 results from PERSONA:

884
885 Tazari, Mohammad-Reza: An Open Distributed Framework for Adaptive User Interaction in
886 Ambient Intelligence. In: Ruyter, Boris de (Ed.) ; Wichert, Reiner (Ed.) ; Keyson, David V.
887 (Ed.) ; Markopoulos, Panos (Ed.) ; Streitz, Norbert (Ed.) ; Divitini, Monica (Ed.) ;
888 Georgantas, Nikolaos (Ed.) ; Gomez, Antonio Mana (Ed.): Ambient Intelligence : Aml 2010.
889 Berlin; Heidelberg; New York : Springer, 2010, pp. 227-238. (Lecture Notes in Computer
890 Science (LNCS) 6439).
891

892 2 The EU-FP7 integrated project universAAL <www.universaal.org> with Grant Agreement
893 no. 247950 that started on 1-Feb-2010 and is planned to finish by 31-Jan-2014.
894 universAAL adopted the PERSONA UI Framework and enhanced it further as specified in
895 this document.

896 To be completed later.